

Attorney Docket No. 70801-56710

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Takamori, et al.

U.S.S.N.:

New Application

Art Unit: Unknown

FILED:

Herewith

Examiner: Unknown

FOR:

OPTICAL DATA RECORDING MEDIUM

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EL78982821 postage prepaid, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on November 15, 2001.

By: Norah C. Sullivan

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Date: November 15, 2001

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

1. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. <u>COPIES</u>

a. Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and

(iii) all other information or that portion which caused it to be listed.

III.	CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)				
	a. 🗌	Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).			
	b. 🔀	A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:			
		See English Abstract for References BA-BC			
	c	The following additional information is provided for the Examiner's consideration:			
		FEES			
V.					
	a. 🔀	within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.			
	b. 🗌	within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.			
	с. 🗌	before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. §			

1.97(e)below, or, if no certification has been made, charge our deposit account a fee in the amount of \$240.00 as required by 37 C.F.R. § 1.17(p).

V.	THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c): (check one box) before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).						
	a. No certification; therefore, a fee in the amount of c.F.R § 1.17(p).						
	b. See th	he certification below. No fee is required.	or				
VI.	CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (check only one box)						
l'he u	indersigned h	ereby certifies that					
	a. 🗌	each item of information contained in the IDS w communication from a foreign Patent Office in a application not more than three months prior to or	counterpart foreign				
	b. 🗌	no item of information contained in the IDS was communication from a foreign Patent Office in a application or, to the best of my knowledge after inquiry, was known to any individual designated more than three months prior to the filing of this	counterpart foreign making reasonable in 37 C.F.R. § 1.56(c)				
	c. 🗌	Some of the items of information were cited in a foreign Patent Office. As to this information, the that each item of information contained in the II communication from a foreign Patent Office in a application not more than three months prior to As to the remaining information, the undersign no item of this remaining information contained in a communication from a foreign Patent Office foreign application or, to the best of my knowled reasonable inquiry, was known to any individual C.F.R. 8.1.56(c) more than three months prior to	e undersigned certifies DS was cited in a counterpart foreign the filing of this IDS. ed hereby certifies that in the IDS was cited in a counterpart lge after making I designated in 37				

statement.

Page 4		
	Please charge Deposit Account No. 04-1105 in the amount of above-indicated fee. A triplicate copy of this paper is attached.	for the
\boxtimes	No fee is required.	

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition, if necessary) and charge the appropriate fee to Deposit Account No. 04-1105.

Respectfully submitted,

Date: November 15, 2001

John J. Alexander (Reg. No. 48,399)

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FORM PTO-1449 MADESIAM				ATTY DOCKET NO.	SERIAL NO.					
INFORMATION DISCLOSURE STATEMENT				70801-56710	Herewith					
				APPLICANT(S): Takamori, et al						
				FILING DATE:	ART UNIT:					
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UNITED STATES PATENT DOCUMENTS										
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB	FIL. DATE IF APPR			
FOREIGN PATENT DOCUMENTS										
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN YES/NO			
	BA	04-195745	15.07.1992	Japan			See English Abstract			
	BB	04-364248	16.12.1992	, Japan			See English Abstract			
	ВС	2000-311381	07.11.2000	Japan			See English Abstract			
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)										
	CA	J. Oda, et al. "Ele vol. 59, 563, pp.		ces Utilizing Multil 93	ayered	Beam T	heory"			
Examiner:				Date:						
